

May. 22. 2003 4:14PM

WILSON SONSINI

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Date: May 22, 2003

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As discussed, attached is a copy of the Information Disclosure Statement submitted May 20, 2003 in U.S. patent application no. 10/053,138.

Ref: 14912.786

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PTO/SI/21 (6-99)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/053,138
		Filing Date	01/18/2002
		First Named Inventor	Savas
		Group/Art Unit	1763
		Examiner Name	Parviz Hassanzadeh
Total Number of Pages in This Submission	1,086	Attorney Docket Number	14912.786

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Michael J. Murphy, Reg. No. 37,404, WILSON SONSINI GOODRICH & ROSATI		
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PATENT

Attorney Docket No. 14912.786

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

PATENT APPLICATION

Inventor(s): Stephen E. Savas

Art Unit: 1763

Application No.: 10/053,138

Examiner: Parviz Hassanzadeh

Filed: 01/18/2002

Title: Pulsed Plasma Processing of Semiconductor
SubstratesINFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed on an attached Form PTO-1449 is information known to applicant(s). The references listed on Form PTO-1449 were cited in U.S. patent 6,253,704 of which the present application is a continuation and/or U.S. patent 5,983,828 of which U.S. patent 6,253,704 is a divisional. A copy of each listed publication and U.S. and foreign patent is available to the Examiner in parent application no. 09/398,553 now issued as U.S. patent 6,523,704 and/or application no. 08/727,209 now issued as U.S. patent 5,983,828. Applicant will supply a copy of any cited document(s) upon request by the Examiner.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 CFR §1.97, subsection (b) because (check all that apply):

- ☒ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
☒ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

Attorney Docket No.: 14912.786
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Information Disclosure Statement -PAGE 1-

- ☐ 37 CFR §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 CFR §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 CFR §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$ 0 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 14912.786).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: 5-20-03

By: 
Michael J. Murphy, Reg. No. 37,404

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